

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: **Michiaki KOIZUMI et al.**

Group Art Unit: **2621**

Serial Number: **10/598,677**

Examiner: **Not yet assigned**

Filed: **September 7, 2006**

Confirmation Number: **8754**

For: **MOBILE TERMINAL, METHOD FOR RECORDING/REPRODUCING  
BROADCAST IN MOBILE TERMINAL, AND BROADCAST  
RECORDING/REPRODUCTION PROGRAM**

Attorney Docket Number: **062981**

Customer Number: **38834**

**REQUEST FOR CORRECTED FILING RECEIPT**

**Mail Stop: Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450**

**June 25, 2007**

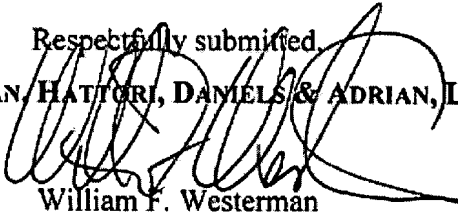
**Sir:**

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the Official Filing Receipt, we noted errors in the **Title and Number of Independent Claims**. The Title should read **--MOBILE TERMINAL, METHOD FOR RECORDING/REPRODUCING BROADCAST IN MOBILE TERMINAL, AND BROADCAST RECORDING/REPRODUCTION PROGRAM--**, the **Number of Independent Claims** should read **--3--**. A Declaration and a Transmittal is enclosed which indicates the correct information. We are also enclosing a copy of the filing receipt with the corrections highlighted.

Request for Corrected Filing Receipt  
U.S. Application No.: 10/598,677  
Docket No.: 062981

If any fees are required in connection with this paper, please charge Deposit Account No.  
50-2866.

Respectfully submitted,  
  
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP  
William F. Westerman  
Registration No. 29, 988  
Telephone: (202) 822-1100  
Facsimile: (202) 822-1111

WFW/bc  
Enclosures: Declaration  
Transmittal  
Official Filing Receipt

**DECLARATION FOR U.S. PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**MOBILE TERMINAL, METHOD FOR RECORDING/REPRODUCING BROADCAST IN MOBILE  
TERMINAL, AND BROADCAST RECORDING/REPRODUCTION PROGRAM**

the specification of which is attached hereto unless the following is checked

☒ was filed on \_\_\_\_\_ as United States Application Number \_\_\_\_\_ and was filed on March 07, 2005 as PCT International Application Number PCT/JP2005/003878 and was amended on January 10, 2006 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) – (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed.

(List prior foreign applications. See note A)

2004-064724  
(Number)

Japan  
(Country)

08/03/2004  
(Day/Month/Year Filed)

Priority Claimed

☒ Yes ☐ No

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐ Yes ☐ No

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐ Yes ☐ No

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐ Yes ☐ No

(See note B) ☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(List prior U.S. Applications)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

Status

☐ Patented ☐ Pending ☐ Abandoned

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

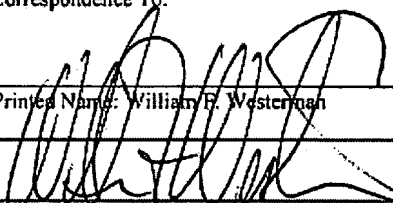
☐ Patented ☐ Pending ☐ Abandoned

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: September 7, 2006
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application. No. (if known):
INTERNATIONAL APPLICATION NO.: PCT/JP2005/003878	INTERNATIONAL FILING DATE: March 7, 2005	PRIORITY DATE CLAIMED: March 8, 2004
TITLE OF INVENTION: <b>MOBILE TERMINAL, METHOD FOR RECORDING/REPRODUCING BROADCAST IN MOBILE TERMINAL, AND BROADCAST RECORDING/REPRODUCING PROGRAM</b>		
APPLICANT(S) FOR DO/EO/US: Michiaki KOIZUMI et al.		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
ITEMS 11. TO 20. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report, PTO/SB/08 and 4 docs.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. ASSIGNEE NAME AND ADDRESS: <u>SANYO ELECTRIC CO., LTD.</u>, Moriguchi-shi, Japan Please publish the assignee data with the application.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 C.F.R. 1.76</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: 8 sheets of drawings.</p>		

U.S. APPLICATION NO. (if known)	INTERNATIONAL APPLICATION NO. PCT/JP2005/003878	DATE: September 7, 2006	
The following fees are submitted:		CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic National Stage Fee \$300 22. <input checked="" type="checkbox"/> National Stage Examination Fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100 All other situations \$200 23. <input checked="" type="checkbox"/> National Stage Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400 All other situations \$500 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>		\$ 300.00   \$ 200.00   \$ 400.00   \$ 900.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
TOTAL	20 - 20 =	0	X \$ 50.00
INDEPENDENT	3 - 3 =	0	X \$ 200.00
Multiple dependent claims(s) (if applicable)			+ \$360.00
TOTAL OF ABOVE CALCULATIONS =			\$ 900.00
National Stage Application Size Fee-for each additional 50 sheets that exceeds 100 sheets \$ 250.00.			
Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).			
SUBTOTAL =			\$ 900.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +			
TOTAL NATIONAL FEE =			\$ 900.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			
TOTAL FEES ENCLOSED =			\$ 900.00
		Amount to be:	
		refunded	\$
		charged	\$
a. <input checked="" type="checkbox"/> Filing electronically (\$300.00 for basic national stage fee; \$400.00 for national stage search fee; \$200.00 for national stage examination fee). b. <input type="checkbox"/> Please charge my Deposit Account No. 50-2866 in the amount of \$_____ to cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2866. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.			
Send All Correspondence To:		WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP 1250 Connecticut Avenue NW, Suite 700 Washington, DC 20036 CUSTOMER NO. 38834	
Typed or Printed Name: William F. Westerman		Reg. No.: 29,988	
Signature: 		Date: September 7, 2006	

WFW/yap

**UNITED STATES DEPARTMENT OF COMMERCE**  
United States Patent and Trademark Office  
Address: **COMMISSIONER FOR PATENTS**  
P.O. Box 1450  
Alexandria, Virginia 22311-1450  
[www.uspto.gov](http://www.uspto.gov)

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\*0000000023583786\*

**Projected Publication Date: 08/02/2007**

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Mobile terminal, method for recording/reproducing broadcast in mobile terminal, and broadcast recording/reproducing program

**Preliminary Class** -- Reproduction --  
386

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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### LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where